



UNITED STATES PATENT AND TRADEMARK OFFICE

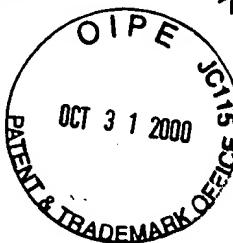
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/608,996	06/30/2000	2766	846	042390.P8384	7	16	5

42390.198384 X
FILING RECEIPT

OC000000005360769*

Aloysius T C AuYeung
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard Seventh Floor
Los Angeles, CA 90025



Date Mailed: 08/29/2000

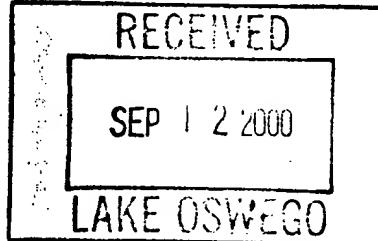
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert W. Faber, Hillsboro, OR ;
Brendan Traw, Portland, OR ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/385,590 08/29/1999
WHICH IS A CIP OF 09/385,592 08/29/1999



RECEIVED

SEP 12 2000

SEARCHED & INDEXED
JC

Foreign Applications

If Required, Foreign Filing License Granted 08/29/2000



Title

Method and apparatus for protecting copy control information provided to a video recording device

Preliminary Class

380

Data entry by : MOLLISH, CHRISTINE

Team : OIPE

Date: 08/29/2000

ENTERED



SEP 06 2000

STATUS DB-LA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert W. Faber et al.

Serial No.: 09/608,996

Filed: June 30, 2000

For: Method And Apparatus For Protecting Copy Control
Information Provided To A Video Recording Device

Examiner: Unassigned

Art Unit: 2766

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed August 29, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application; and
- (4) a Request For Correction Of Filing Receipt.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/27, 2000_____
James H. Salter (Reg. No. 35,668)

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/27/00.

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters

Signature

October 27, 2000

Date

Atty Docket No. 42390.P8384X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert W. Faber et al.

Application No.: 09/608,996

Filed: June 30, 2000

For: METHOD AND APPARATUS FOR
PROTECTING COPY CONTROL INFORMATION
PROVIDED TO A VIDEO RECORDING DEVICE

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231



Examiner: Not yet assigned

Art Unit: 2766

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

In the Filing Receipt for the above-referenced patent application filed on June 30, 2000, the Attorney Docket Number was recorded incorrectly as follows:

42390.P8384

The correct Attorney Docket Number is as follows:

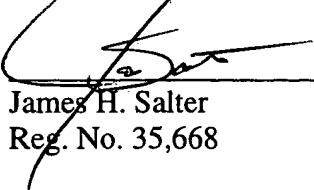
42390.P8384X

Please have the Filing Receipt changed to reflect the correct Attorney Docket Number. Enclosed is a copy of the incorrect Filing Receipt with the change noted thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 10/27/2000


James H. Salter
Reg. No. 35,668

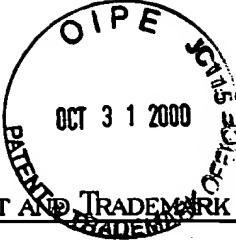
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408)720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on October 27, 2000 Date of Deposit
Claire Waller Claire Waller
Name of Person Mailing Correspondence
10/27/00
Signature Date



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/608,996	06/30/2000	Robert W. Faber	042390.P8384

Aloysius T C AuYeung
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard Seventh Floor
Los Angeles, CA 90025

RECEIVED

SEP 05 2000

FORMALITIES LETTER



OC000000005360770

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 08/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

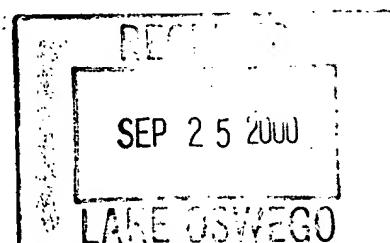
*A copy of this notice **MUST** be returned with the reply.*

C. Moller
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/02/2000 HN00R1 00000015 09608996

01 FC:105 130.00 'OP.





Approved for use through 09/30/01. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to
 respond to a collection of information unless it displays a valid OMB
 control number.

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$)

130.00

Complete if Known:

Application No. 09/608,996
 Filing Date June 30, 2000
 First Named Inventor Robert W. Faber et al.
 Group Art Unit 2766
 Examiner Name Unassigned
 Attorney Docket No. 42390.P8384X

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account Number _____
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, and charge any deficiencies to Deposit Account Number 02-2666, and credit any over payments to Deposit Account Number 02-2666

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. Payment Enclosed
 Check
 Money Order
 Other

FEE CALCULATION (fees effective 10/01/00)

1. FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
SUBTOTAL (1)		\$ 0	

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	- 20 =	X _____	= _____
Independent Claims	- 3 =	X _____	= _____
Multiple Dependent Claims	_____	X _____	= _____

Large Entity Small Entity

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
103	18	203	9
102	80	202	40
104	270	204	135
109	80	209	40
110	18	210	9
SUBTOTAL (2)		\$ 0	

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee		
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee
			or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to
			Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after
			Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
			Extension for response within first month
			Extension for response within second month
			Extension for response within third month
			Extension for response within fourth month
			Extension for response within fifth month
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
			Petition to institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,240	241	620
			Petition to revive unintentionally abandoned application
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	710	246	355
149	710	249	355
			For filing a submission after final rejection
			For each additional invention to be examined

Other: _____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James H. Salter

Signature James H. Salter Date 10/27/00

Reg. Number 35,668 Customer No. _____

(complete if applicable)

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C.

20231 on

October 27, 2000.

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters

10/27/00

Signature

Date